



4-11-2002  
#6/IDS  
Docket No. 003252-052961

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Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING**

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Date: 10/29/02

Melissa Kruggel  
Melissa Kruggel

Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the provisions of 37 C.F.R. §§1.56 and 1.97, Applicants herewith submit the publications and/or patents shown on the attached form PTO-1449, for consideration by the Examiner in connection with the examination of the above-identified patent application.

**REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

(1) within three (3) months of the Filing Date or **before the mailing date of the First Office Action** on the merits; or

(2) within three months of the mailing date of the PCT International Search Report; or

(3) after the period defined in (1) but before the mailing date of a **Final Rejection or Notice of Allowance**, and the requisite Certification or fee under Rule 1.17(p), namely **\$240.00**, is included herein; or

B639150.2

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(4) after the mailing date of a **Final Rejection or Notice of Allowance** but before the payment of the **Issue Fee**, and the requisite Certification, petition, and petition fee are included herein.

It is respectfully requested that each of the documents shown on the attached form(s) PTO-1449 be made of record in this application. Copies of these documents (CHECK ONE):

X are enclosed herewith; and  
— have been cited in the parent application, and are thus not being resubmitted herein.

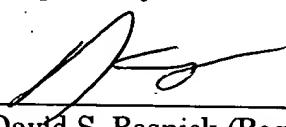
A copy of the International Search Report from its corresponding PCT application, PCT/US01/15272, is also enclosed herewith.

Early examination and allowance of the present application are respectfully solicited.

#### **FEE AUTHORIZATION**

Should any fees associated with the submission be required, the Commissioner is authorized to charge the missing fee to our Deposit Account No. 50-0850 or 14-1138. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,



\_\_\_\_\_  
David S. Resnick (Reg. 34,235)  
NIXON PEABODY LLP  
101 Federal Street  
Boston, MA 02110  
(617) 345-6057

Date: 10/29/02

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PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

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### Certificate of Mailing under 37 CFR 1.8

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Melissa Kruggel

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Transmittal Form (PTO/SB/21)(1 pg)

Information Disclosure Statement with attached Form PTO-1449 (3 pp)

References marked AA through AE and BA through BB

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Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/853,428
		Filing Date	May 10, 2001
		First Named Inventor	George Brainard
		Group Art Unit	1614
		Examiner Name	To be assigned
Total Number of Pages in This Submission		Attorney Docket Number	003252-052961

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## ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment/Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):  
Form PTO-1449; References AA through AE and BA through BB; Certificate of Mailing; Return Receipt Postcard.

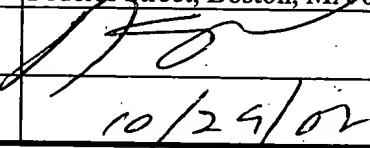
### Remarks

The Commissioner is authorized to charge fee deficiencies to the NIXON PEABODY LLP deposit account 50-0850.

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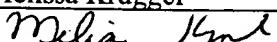
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	David S. Resnick (Reg. No. 34,235) NIXON PEABODY LLP, 101 Federal Street, Boston, MA 02110
Signature	
Date	10/29/02

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Typed or printed name	Melissa Kruggel		
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FORM PTO-1449		DOCKET NO.: 052961	SERIAL NO.: 09/853,428
INFORMATION DISCLOSURE STATEMENT		APPLICANT(S): George Brainard	
		FILING DATE: 5/10/01	GROUP NO.: 1614

## UNITED STATES PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	INVENTOR/ASSIGNEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	5,447,527	9/5/1995	Waldman			RECEIVED
AB	5,824,024	10/20/1998	Dial			NOV 12 2002
AC	5,855,595	1/5/1999	Fujishima et al.			
AD	5,170,035	12/8/1992	Webster et al.			TECHNOLOGY CENTER R3700
AE	5,235,178	8/10/1993	Hegyi			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO
BA	29713395	12/3/1998	DE			
BB	2666991	3/27/1992	FR			

## OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)


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